

# Form 603

Corporations Act 2001

Section 671B

## Notice of initial substantial holder

To Company Name/Scheme Western Areas Limited

ACN/ARSN ACN 091049357

### 1. Details of substantial holder (1)

Name Wellington Management Group LLP ("Wellington Management"), and its related bodies corporate

ACN/ARSN (if applicable) \_\_\_\_\_

The holder became a substantial holder on 05/06/17

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Common Stock	13,720,801	13,720,801	5.04%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Wellington Management	investment/voting authority (see example agreement attached as Exhibit A) in respect of the ordinary shares issued and allotted pursuant to the Scheme of Arrangement approved by the supreme court of South Australia on 21 June 2002	13,720,801 Common Stock

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Wellington Management	Unknown	Wellington Management	13,720,801 Common Stock

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
See Exhibit B				

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Wellington Management	c/o Wellington Management Company LLP, 280 Congress Street, Boston MA 02210, USA

---

**Signature**

print name	John D. Norberg	capacity	Authorized Person
<b>sign here</b>		date	07/06/17

---

Exhibit A

Wellington Management Group LLP ("Wellington Management")  
Investment Management Agreement

---

Appointment of  
Wellington Management  
as Manager/ Acceptance  
of Appointment

The Clients hereby appoint Wellington Management as investment manager to manage, supervise and direct the Clients' investment account or accounts (collectively, the "Account") under the terms and conditions set forth in the agreement. By execution of the Agreement, Wellington Management accepts appointment as investment manager and agrees to manage, supervise and direct the investments of the Account pursuant to the provisions of the Agreement.

---

Discretionary Authority -  
Investments

Wellington Management shall have full and complete discretion to manage, supervise and direct the investment and reinvestment of assets in the Account and any additions thereto, subject to the investment objectives and guidelines attached to the Agreement. Wellington Management shall have full power and authority to act on behalf of the Account with respect to the purchase, sale, exchange, conversion or other transactions in any and all stocks, bonds, cash held for investment, and other assets, including shares of a registered investment company for which Wellington Management may act as investment adviser and, upon proper authorization by the Clients, interests in a collective trust maintained by a bank or trust company, including one maintained by an affiliate of Wellington Management. No cash or securities due to or held for the Account shall be paid or delivered to Wellington Management, except in payment of the management fee payable to Wellington Management under the Agreements pursuant to procedures approved by the Clients.

## Exhibit B

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Wellington Management	07/02/17	157,171 AUD		60,136 Common Stock
Wellington Management	09/02/17	9,938 AUD		3,815 Common Stock
Wellington Management	10/02/17	10,012 AUD		3,891 Common Stock
Wellington Management	13/02/17	-2,438,789 AUD		-910,610 Common Stock
Wellington Management	14/02/17	-2,456,547 AUD		-909,153 Common Stock
Wellington Management	15/02/17	-9,684 AUD		-3,600 Common Stock
Wellington Management	17/02/17	-11,180 AUD		-4,267 Common Stock
Wellington Management	20/02/17	-1,938,397 AUD		-755,625 Common Stock
Wellington Management	21/02/17	-985,872 AUD		-380,000 Common Stock
Wellington Management	22/02/17	-612,524 AUD		-237,100 Common Stock
Wellington Management	23/02/17	-1,243,595 AUD		-497,306 Common Stock
Wellington Management	24/02/17	-873,714 AUD		-361,514 Common Stock
Wellington Management	27/02/17	-1,214,350 AUD		-498,420 Common Stock
Wellington Management	28/02/17	-1,149,524 AUD		-479,818 Common Stock
Wellington Management	01/03/17	-1,451,122 AUD		-628,357 Common Stock
Wellington Management	02/03/17	-2,732,785 AUD		-1,136,435 Common Stock
Wellington Management	07/03/17	318,340 AUD		135,182 Common Stock
Wellington Management	08/03/17	239,788 AUD		102,338 Common Stock
Wellington Management	10/03/17	1,561,904 AUD		692,965 Common Stock
Wellington Management	13/03/17	729,906 AUD		326,113 Common Stock
Wellington Management	14/03/17	419,825 AUD		180,187 Common Stock
Wellington Management	15/03/17	1,977,885 AUD		841,105 Common Stock
Wellington Management	16/03/17	1,086,012 AUD		455,580 Common Stock
Wellington Management	17/03/17	120,000 AUD		50,000 Common Stock
Wellington Management	20/03/17	55,654 AUD		22,915 Common Stock
Wellington Management	21/03/17	-5,255 AUD		-2,145 Common Stock
Wellington Management	22/03/17	516,640 AUD		215,716 Common Stock
Wellington Management	23/03/17	1,018,519 AUD		424,441 Common Stock
Wellington Management	24/03/17	347,752 AUD		143,267 Common Stock
Wellington Management	30/03/17	4,617 AUD		1,980 Common Stock
Wellington Management	31/03/17	-242,320 AUD		-104,876 Common Stock
Wellington Management	03/04/17	30,731 AUD		13,295 Common Stock
Wellington Management	05/04/17	36,955 AUD		16,286 Common Stock
Wellington Management	06/04/17	2,995 AUD		1,274 Common Stock
Wellington Management	12/04/17	-13,472 AUD		-5,882 Common Stock
Wellington Management	18/04/17	-46,150 AUD		-20,875 Common Stock
Wellington Management	19/04/17	-1,550,725 AUD		-719,060 Common Stock
Wellington Management	20/04/17	-1,875,080 AUD		-892,173 Common Stock
Wellington Management	28/04/17	55,625 AUD		26,089 Common Stock
Wellington Management	01/05/17	56,704 AUD		25,700 Common Stock
Wellington Management	02/05/17	137,529 AUD		64,158 Common Stock
Wellington Management	03/05/17	-1,356 AUD		-628 Common Stock
Wellington Management	09/05/17	-24,513 AUD		-12,135 Common Stock
Wellington Management	10/05/17	152,759 AUD		74,011 Common Stock
Wellington Management	17/05/17	-325,866 AUD		-159,043 Common Stock
Wellington Management	18/05/17	665,583 AUD		318,938 Common Stock
Wellington Management	19/05/17	246,404 AUD		118,623 Common Stock

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Wellington Management	23/05/17	45,583 AUD		20,532 Common Stock
Wellington Management	29/05/17	417,116 AUD		194,170 Common Stock
Wellington Management	30/05/17	1,886 AUD		877 Common Stock
Wellington Management	01/06/17	179,852 AUD		82,022 Common Stock
Wellington Management	02/06/17	5,008 AUD		2,257 Common Stock
Wellington Management	05/06/17	391,990 AUD		183,949 Common Stock