
Form 603

Corporations Act 2001
Section 671B

Notice of initial substantial holder

To Company Name/Scheme SANDFIRE RESOURCES NL (SFR)

ACN/ARSN ACN 105 154 185

1. Details of substantial holder(1)

Name WESTPAC BANKING CORPORATION (WBC) and its associated entities listed in Annexure 'B'

ACN/ARSN (if applicable) ACN 007 457 141

The holder became a substantial holder on 30/11/2016

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
Ordinary Shares	7,984,385	7,984,385	
Total	7,984,385	7,984,385	5.06%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Number of securities	Class of securities
Advance Asset Management Limited	Advance Asset Management Ltd is the responsible entity of various managed investment schemes and also acts as a fund manager.	118,555	Ordinary
Asgard Capital Management Limited	Asgard Capital Management Limited ABN 92 009 279 592 AFSL 240695 is a custodian and operator of Investor-Directed Portfolio Service (IDPS). Asgard Capital Management Limited is the custodian and operator of Asgard eWrap Investment,	13,039	Ordinary

	Asgard Funds Account, LifeForce eWrap Investment and Personal Choice Private eWrap Investment Account.		
BT Funds Management Limited	BT Funds Management Limited is the manager of various superannuation funds, institutional investor portfolios and unit trusts and, in that capacity, can exercise the power to vote or dispose of the shares.	134,450	Ordinary
BT Funds Management No.2 Limited	BT Funds Management No 2 Limited is or may be the Manager of various Superannuation funds, Institutional investor portfolios and unit trusts and, in that capacity, can exercise the power to vote or dispose of the shares.	573,601	Ordinary
BT Investment Management (Fund Services) Limited	BT Investment Management (Fund Services) Limited is the responsible entity of various managed investment schemes and, in that capacity, can exercise the power to vote or dispose of the shares.	3,535,616	Ordinary
BT Investment Management (Institutional) Limited	BT Investment Management (Institutional) Limited (previously known as BT Investment Management (RE) Limited) is the fund manager of various superannuation funds, institutional investor portfolios and unit trusts and, in that capacity, can exercise the power to vote or dispose of the shares.	3,094,214	Ordinary
Westpac Financial Services Limited	Westpac Financial Services Limited is the responsible entity of various managed investment schemes and, in that capacity, can exercise the power to vote or dispose of shares.	186,554	Ordinary
Westpac RE Limited	Westpac RE Limited is the manager of various superannuation funds,	328,356	Ordinary

	institutional investor portfolios and unit trusts and, in that capacity, can exercise the power to vote or dispose of the shares.		
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4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Persons entitled to be registered as holder (8)	Class and number of securities
Asgard Capital Management Limited	Asgard Capital Management Limited	See Annexure 'A'	13,039 Ordinary
Advance Asset Management Limited	HSBC Custody Nominees (Australia) Limited.	See Annexure 'A'	118,555 Ordinary
BT Funds Management Limited	Asgard Capital Management Limited BT Portfolio Services Limited HSBC Custody Nominees (Australia) Limited.	See Annexure 'A'	134,450 Ordinary
Westpac Financial Services Limited	BT Portfolio Services Limited HSBC Custody Nominees (Australia) Limited.	See Annexure 'A'	186,554 Ordinary
Westpac RE Limited	HSBC Custody Nominees (Australia) Limited.	See Annexure 'A'	328,356 Ordinary
BT Funds Management No.2 Limited	HSBC Custody Nominees (Australia) Limited.	See Annexure 'A'	573,601 Ordinary
BT Investment Management (Institutional) Limited	Australia and New Zealand Banking Group Limited JP Morgan Chase Bank National Australia Bank Limited State Street Australia Limited	See Annexure 'A'	3,094,214 Ordinary
BT Investment Management (Fund Services) Limited	HSBC Custody Nominees (Australia) Limited.	See Annexure 'A'	3,535,616 Ordinary

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
WBC & associates	See Annexure 'A'	See Annexure 'A'		See Annexure 'A'

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Westpac Banking Corporation (WBC)	Level 20, Westpac Place, 275 Kent Street, Sydney NSW 2000
Asgard Capital Management Limited	Level 20, Westpac Place, 275 Kent Street, Sydney NSW 2000
Advance Asset Management Limited	Level 20, Westpac Place, 275 Kent Street, Sydney NSW 2000
BT Funds Management Limited	Level 20, Westpac Place, 275 Kent Street, Sydney NSW 2000
Westpac Financial Services Limited	Level 20, Westpac Place, 275 Kent Street, Sydney NSW 2000
Westpac RE Limited	Level 20, Westpac Place, 275 Kent Street, Sydney NSW 2000
BT Funds Management No.2 Limited	Level 20, Westpac Place, 275 Kent Street, Sydney NSW 2000
BT Investment Management (Institutional) Limited	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
BT Investment Management (Fund Services) Limited	Level 14, Chifley Tower, 2 Chifley Square, Sydney NSW 2000
SANDFIRE RESOURCES NL (SFR)	The Company Secretary SANDFIRE RESOURCES NL (SFR) Level 1, 31 Ventnor Avenue West Perth, WA 6005 Tel: 61 8 6430 3800

Signature

Print name Tim Hartin Capacity Company Secretary

Sign here _____ Date 02/12/2016

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (e.g. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members, is clearly set out in paragraph 7 of the form.
 - (2) See the definition of “associate” in section 9 of the Corporations Act 2001.
 - (3) See the definition of “relevant interest” in sections 608 and 671B(7) of the Corporations Act 2001.
 - (4) The voting shares of a company constitute one class unless divided into separate classes.
 - (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
 - (6) The person’s votes divided by the total votes in the body corporate scheme multiplied by 100.
 - (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and with a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).
- See the definition of “relevant agreement” in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (e.g. if the relevant interest arises because of an option) write “unknown”.
 - (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

ANNEXURE A

This is annexure 'A' referred to in Form 603, Notice of initial substantial holder, lodged for Westpac Banking Corporation ACN 007 457 141 and its associated entities.

Tim Hartin – Company Secretary, Westpac Banking Corporation – 02/12/2016

Sales & Purchases for the period : 30/07/2016 to 30/11/2016

SECURITY: SFR

Advance Asset Management Limited

Date	Registered Company	Quantity	Consideration	Class of Securities
12/08/2016	HSBC Custody Nominees (Australia) Limited.	-1,200	-6,888.00	Ordinary
4/10/2016	HSBC Custody Nominees (Australia) Limited.	1,216	6,177.28	Ordinary
5/10/2016	HSBC Custody Nominees (Australia) Limited.	1,611	8,038.89	Ordinary
6/10/2016	HSBC Custody Nominees (Australia) Limited.	1,393	7,006.79	Ordinary
7/10/2016	HSBC Custody Nominees (Australia) Limited.	1,980	9,999.00	Ordinary
	Total	5,000	24,333.96	Ordinary
	Advance Asset Management Limited Total :	5,000	24,333.96	

BT Funds Management Limited

Date	Registered Company	Quantity	Consideration	Class of Securities
29/11/2016	Asgard Capital Management Limited	1,200	7,392.00	Ordinary
	Total	1,200	7,392.00	Ordinary

Date	Registered Company	Quantity	Consideration	Class of Securities
16/08/2016	BT Portfolio Services Limited	1,827	10,011.78	Ordinary
16/08/2016	BT Portfolio Services Limited	3,650	20,222.10	Ordinary
19/08/2016	BT Portfolio Services Limited	3,600	20,664.72	Ordinary
27/09/2016	BT Portfolio Services Limited	19,600	101,939.60	Ordinary
19/10/2016	BT Portfolio Services Limited	2,223	11,039.86	Ordinary
9/11/2016	BT Portfolio Services Limited	-3,600	-21,292.20	Ordinary
9/11/2016	BT Portfolio Services Limited	-3,650	-21,298.12	Ordinary
	Total	23,650	121,287.74	Ordinary

Date	Registered Company	Quantity	Consideration	Class of Securities
8/08/2016	HSBC Custody Nominees (Australia) Limited.	-642	-3,665.82	Ordinary
17/08/2016	HSBC Custody Nominees (Australia) Limited.	-742	-4,162.62	Ordinary
26/08/2016	HSBC Custody Nominees (Australia) Limited.	-655	-3,556.65	Ordinary
9/09/2016	HSBC Custody Nominees (Australia) Limited.	-414	-2,156.94	Ordinary
22/09/2016	HSBC Custody Nominees (Australia) Limited.	-520	-2,860.00	Ordinary
6/10/2016	HSBC Custody Nominees (Australia) Limited.	-497	-2,489.97	Ordinary
11/10/2016	HSBC Custody Nominees (Australia) Limited.	-350	-1,760.50	Ordinary
14/10/2016	HSBC Custody Nominees (Australia) Limited.	12,825	65,022.75	Ordinary
27/10/2016	HSBC Custody Nominees (Australia) Limited.	-932	-4,725.24	Ordinary
8/11/2016	HSBC Custody Nominees (Australia) Limited.	-630	-3,647.70	Ordinary
18/11/2016	HSBC Custody Nominees (Australia) Limited.	-697	-3,993.81	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	-297	-1,832.49	Ordinary
	Total	6,449	30,171.01	Ordinary

BT Funds Management Limited Total : **31,299** **158,850.75**

BT Funds Management No.2 Limited

Date	Registered Company	Quantity	Consideration	Class of Securities
29/08/2016	HSBC Custody Nominees (Australia) Limited.	105	561.75	Ordinary
30/08/2016	HSBC Custody Nominees (Australia) Limited.	20,135	107,118.20	Ordinary
1/09/2016	HSBC Custody Nominees (Australia) Limited.	14,663	78,153.79	Ordinary
2/09/2016	HSBC Custody Nominees (Australia) Limited.	4,443	23,592.33	Ordinary
8/09/2016	HSBC Custody Nominees (Australia) Limited.	27,750	146,242.50	Ordinary
9/09/2016	HSBC Custody Nominees (Australia) Limited.	18,206	94,489.14	Ordinary
12/09/2016	HSBC Custody Nominees (Australia) Limited.	20,546	104,373.68	Ordinary
1/11/2016	HSBC Custody Nominees (Australia) Limited.	19,022	98,533.96	Ordinary
2/11/2016	HSBC Custody Nominees (Australia) Limited.	30,267	155,875.05	Ordinary
3/11/2016	HSBC Custody Nominees (Australia) Limited.	9,344	48,588.80	Ordinary
4/11/2016	HSBC Custody Nominees (Australia) Limited.	277	1,440.40	Ordinary
10/11/2016	HSBC Custody Nominees (Australia) Limited.	9,878	60,947.26	Ordinary
10/11/2016	HSBC Custody Nominees (Australia) Limited.	19,481	120,197.77	Ordinary
10/11/2016	HSBC Custody Nominees (Australia) Limited.	45,254	279,217.18	Ordinary
14/11/2016	HSBC Custody Nominees (Australia) Limited.	708	4,290.48	Ordinary
14/11/2016	HSBC Custody Nominees (Australia) Limited.	39,721	241,106.47	Ordinary
16/11/2016	HSBC Custody Nominees (Australia) Limited.	3,581	21,414.38	Ordinary
16/11/2016	HSBC Custody Nominees (Australia) Limited.	12,788	74,298.28	Ordinary
22/11/2016	HSBC Custody Nominees (Australia) Limited.	12,277	73,416.46	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	4,551	28,170.69	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	10,571	65,117.36	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	10,021	62,029.99	Ordinary
28/11/2016	HSBC Custody Nominees (Australia) Limited.	14,095	86,825.20	Ordinary
29/11/2016	HSBC Custody Nominees (Australia) Limited.	2,302	14,111.26	Ordinary
30/11/2016	HSBC Custody Nominees (Australia) Limited.	23,635	137,319.35	Ordinary
	Total	373,621	2,127,431.73	Ordinary
	BT Funds Management No.2 Limited Total :	373,621	2,127,431.73	

BT Investment Management (Fund Services) Limited

Date	Registered Company	Quantity	Consideration	Class of Securities
29/08/2016	HSBC Custody Nominees (Australia) Limited.	8,410	44,993.50	Ordinary
30/08/2016	HSBC Custody Nominees (Australia) Limited.	116,673	620,700.36	Ordinary
1/09/2016	HSBC Custody Nominees (Australia) Limited.	84,969	452,884.77	Ordinary
2/09/2016	HSBC Custody Nominees (Australia) Limited.	25,746	136,711.26	Ordinary
8/09/2016	HSBC Custody Nominees (Australia) Limited.	176,099	928,041.73	Ordinary
9/09/2016	HSBC Custody Nominees (Australia) Limited.	111,026	576,224.94	Ordinary
12/09/2016	HSBC Custody Nominees (Australia) Limited.	125,291	636,478.28	Ordinary
1/11/2016	HSBC Custody Nominees (Australia) Limited.	92,193	477,559.74	Ordinary
2/11/2016	HSBC Custody Nominees (Australia) Limited.	179,456	924,198.40	Ordinary
3/11/2016	HSBC Custody Nominees (Australia) Limited.	54,485	283,322.00	Ordinary
4/11/2016	HSBC Custody Nominees (Australia) Limited.	999	5,194.80	Ordinary
10/11/2016	HSBC Custody Nominees (Australia) Limited.	57,511	354,842.87	Ordinary
10/11/2016	HSBC Custody Nominees (Australia) Limited.	263,473	1,625,628.41	Ordinary
10/11/2016	HSBC Custody Nominees (Australia) Limited.	113,424	699,826.08	Ordinary
10/11/2016	HSBC Custody Nominees (Australia) Limited.	143,603	886,030.51	Ordinary
10/11/2016	HSBC Custody Nominees (Australia) Limited.	61,820	381,429.40	Ordinary
10/11/2016	HSBC Custody Nominees (Australia) Limited.	31,346	193,404.82	Ordinary
11/11/2016	HSBC Custody Nominees (Australia) Limited.	76,650	482,128.50	Ordinary

14/11/2016	HSBC Custody Nominees (Australia) Limited.	234,355	1,422,534.85	Ordinary
14/11/2016	HSBC Custody Nominees (Australia) Limited.	4,177	25,312.62	Ordinary
14/11/2016	HSBC Custody Nominees (Australia) Limited.	697	4,223.82	Ordinary
14/11/2016	HSBC Custody Nominees (Australia) Limited.	39,084	237,239.88	Ordinary
16/11/2016	HSBC Custody Nominees (Australia) Limited.	70,793	411,307.33	Ordinary
16/11/2016	HSBC Custody Nominees (Australia) Limited.	19,822	118,535.56	Ordinary
22/11/2016	HSBC Custody Nominees (Australia) Limited.	65,729	393,059.42	Ordinary
22/11/2016	HSBC Custody Nominees (Australia) Limited.	59,152	353,728.96	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	56,098	347,246.62	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	25,477	157,702.63	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	59,181	364,554.96	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	9,382	58,074.58	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	4,261	26,375.59	Ordinary
25/11/2016	HSBC Custody Nominees (Australia) Limited.	9,898	60,971.68	Ordinary
28/11/2016	HSBC Custody Nominees (Australia) Limited.	78,907	486,067.12	Ordinary
28/11/2016	HSBC Custody Nominees (Australia) Limited.	13,197	81,293.52	Ordinary
29/11/2016	HSBC Custody Nominees (Australia) Limited.	12,888	79,003.44	Ordinary
29/11/2016	HSBC Custody Nominees (Australia) Limited.	2,155	13,210.15	Ordinary
30/11/2016	HSBC Custody Nominees (Australia) Limited.	146,660	852,094.60	Ordinary
	Total	2,635,087	15,202,137.70	Ordinary
	BT Investment Management (Fund Services) Limited Total :	2,635,087	15,202,137.70	

BT Investment Management (Institutional) Limited

Date	Registered Company	Quantity	Consideration	Class of Securities
29/08/2016	Australia and New Zealand Banking Group Limited	393	2,102.55	Ordinary
30/08/2016	Australia and New Zealand Banking Group Limited	3,750	19,950.00	Ordinary
1/09/2016	Australia and New Zealand Banking Group Limited	2,731	14,556.23	Ordinary
2/09/2016	Australia and New Zealand Banking Group Limited	828	4,396.68	Ordinary
8/09/2016	Australia and New Zealand Banking Group Limited	5,806	30,597.62	Ordinary
9/09/2016	Australia and New Zealand Banking Group Limited	3,620	18,787.80	Ordinary
12/09/2016	Australia and New Zealand Banking Group Limited	4,086	20,756.88	Ordinary
1/11/2016	Australia and New Zealand Banking Group Limited	4,365	22,610.70	Ordinary
2/11/2016	Australia and New Zealand Banking Group Limited	5,637	29,030.55	Ordinary
3/11/2016	Australia and New Zealand Banking Group Limited	1,775	9,230.00	Ordinary
4/11/2016	Australia and New Zealand Banking Group Limited	805	4,186.00	Ordinary
10/11/2016	Australia and New Zealand Banking Group Limited	3,636	22,434.12	Ordinary
10/11/2016	Australia and New Zealand Banking Group Limited	1,843	11,371.31	Ordinary
10/11/2016	Australia and New Zealand Banking Group Limited	8,445	52,105.65	Ordinary
14/11/2016	Australia and New Zealand Banking Group Limited	7,107	43,139.49	Ordinary
14/11/2016	Australia and New Zealand Banking Group Limited	127	769.62	Ordinary
16/11/2016	Australia and New Zealand Banking Group Limited	685	4,096.30	Ordinary
16/11/2016	Australia and New Zealand Banking Group Limited	2,446	14,211.26	Ordinary
22/11/2016	Australia and New Zealand Banking Group Limited	3,572	21,360.56	Ordinary
25/11/2016	Australia and New Zealand Banking Group Limited	1,911	11,829.09	Ordinary
25/11/2016	Australia and New Zealand Banking Group Limited	2,015	12,412.40	Ordinary
25/11/2016	Australia and New Zealand Banking Group Limited	868	5,372.92	Ordinary
28/11/2016	Australia and New Zealand Banking Group Limited	2,687	16,551.92	Ordinary
29/11/2016	Australia and New Zealand Banking Group Limited	439	2,691.07	Ordinary
30/11/2016	Australia and New Zealand Banking Group Limited	4,672	27,144.32	Ordinary
	Total	74,249	421,695.04	Ordinary

Date	Registered Company	Quantity	Consideration	Class of Securities
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10/11/2016	JP Morgan Chase Bank	9,090	56,085.30	Ordinary
10/11/2016	JP Morgan Chase Bank	17,927	110,609.59	Ordinary
10/11/2016	JP Morgan Chase Bank	41,642	256,931.14	Ordinary
11/11/2016	JP Morgan Chase Bank	22,279	140,134.91	Ordinary
14/11/2016	JP Morgan Chase Bank	11,215	68,075.05	Ordinary
14/11/2016	JP Morgan Chase Bank	200	1,212.00	Ordinary
22/11/2016	JP Morgan Chase Bank	15,676	93,742.48	Ordinary
25/11/2016	JP Morgan Chase Bank	2,522	15,611.18	Ordinary
25/11/2016	JP Morgan Chase Bank	2,660	16,385.60	Ordinary
25/11/2016	JP Morgan Chase Bank	1,145	7,087.55	Ordinary
25/11/2016	JP Morgan Chase Bank	53,856	333,368.64	Ordinary
28/11/2016	JP Morgan Chase Bank	3,547	21,849.52	Ordinary
28/11/2016	JP Morgan Chase Bank	75,751	466,626.16	Ordinary
29/11/2016	JP Morgan Chase Bank	579	3,549.27	Ordinary
29/11/2016	JP Morgan Chase Bank	12,373	75,846.49	Ordinary
	Total	270,462	1,667,114.88	Ordinary

Date	Registered Company	Quantity	Consideration	Class of Securities
10/11/2016	National Australia Bank Limited	78,651	485,276.67	Ordinary
10/11/2016	National Australia Bank Limited	360,316	2,223,149.72	Ordinary
10/11/2016	National Australia Bank Limited	155,114	957,053.38	Ordinary
11/11/2016	National Australia Bank Limited	193,071	1,214,416.59	Ordinary
14/11/2016	National Australia Bank Limited	97,164	589,785.48	Ordinary
14/11/2016	National Australia Bank Limited	1,732	10,495.92	Ordinary
22/11/2016	National Australia Bank Limited	135,293	809,052.14	Ordinary
25/11/2016	National Australia Bank Limited	23,070	142,111.20	Ordinary
25/11/2016	National Australia Bank Limited	9,932	61,479.08	Ordinary
25/11/2016	National Australia Bank Limited	21,868	135,362.92	Ordinary
28/11/2016	National Australia Bank Limited	30,760	189,481.60	Ordinary
29/11/2016	National Australia Bank Limited	5,024	30,797.12	Ordinary
	Total	1,111,995	6,848,461.82	Ordinary

Date	Registered Company	Quantity	Consideration	Class of Securities
29/08/2016	State Street Australia Limited	1,305	6,981.75	Ordinary
30/08/2016	State Street Australia Limited	39,628	210,820.96	Ordinary
30/08/2016	State Street Australia Limited	19,814	105,410.48	Ordinary
1/09/2016	State Street Australia Limited	28,859	153,818.47	Ordinary
1/09/2016	State Street Australia Limited	14,430	76,911.90	Ordinary
2/09/2016	State Street Australia Limited	8,745	46,435.95	Ordinary
2/09/2016	State Street Australia Limited	4,373	23,220.63	Ordinary
8/09/2016	State Street Australia Limited	58,326	307,378.02	Ordinary
8/09/2016	State Street Australia Limited	29,830	157,204.10	Ordinary
9/09/2016	State Street Australia Limited	37,275	193,457.25	Ordinary
9/09/2016	State Street Australia Limited	18,829	97,722.51	Ordinary
12/09/2016	State Street Australia Limited	42,063	213,680.04	Ordinary
12/09/2016	State Street Australia Limited	21,247	107,934.76	Ordinary
1/11/2016	State Street Australia Limited	40,764	211,157.52	Ordinary
1/11/2016	State Street Australia Limited	18,915	97,979.70	Ordinary
2/11/2016	State Street Australia Limited	60,575	311,961.25	Ordinary
2/11/2016	State Street Australia Limited	30,330	156,199.50	Ordinary
3/11/2016	State Street Australia Limited	18,787	97,692.40	Ordinary
3/11/2016	State Street Australia Limited	9,344	48,588.80	Ordinary
4/11/2016	State Street Australia Limited	695	3,614.00	Ordinary
4/11/2016	State Street Australia Limited	319	1,658.80	Ordinary

10/11/2016	State Street Australia Limited	20,008	123,449.36	Ordinary
10/11/2016	State Street Australia Limited	91,660	565,542.20	Ordinary
10/11/2016	State Street Australia Limited	39,460	243,468.20	Ordinary
10/11/2016	State Street Australia Limited	45,607	281,395.19	Ordinary
10/11/2016	State Street Australia Limited	19,634	121,141.78	Ordinary
10/11/2016	State Street Australia Limited	9,955	61,422.35	Ordinary
14/11/2016	State Street Australia Limited	1,444	8,750.64	Ordinary
14/11/2016	State Street Australia Limited	81,035	491,882.45	Ordinary
14/11/2016	State Street Australia Limited	40,319	244,736.33	Ordinary
14/11/2016	State Street Australia Limited	719	4,357.14	Ordinary
16/11/2016	State Street Australia Limited	7,286	43,570.28	Ordinary
16/11/2016	State Street Australia Limited	26,023	151,193.63	Ordinary
16/11/2016	State Street Australia Limited	12,950	75,239.50	Ordinary
16/11/2016	State Street Australia Limited	3,626	21,683.48	Ordinary
22/11/2016	State Street Australia Limited	25,837	154,505.26	Ordinary
22/11/2016	State Street Australia Limited	12,866	76,938.68	Ordinary
25/11/2016	State Street Australia Limited	9,191	56,892.29	Ordinary
25/11/2016	State Street Australia Limited	20,238	125,273.22	Ordinary
25/11/2016	State Street Australia Limited	21,349	131,509.84	Ordinary
25/11/2016	State Street Australia Limited	10,073	62,351.87	Ordinary
25/11/2016	State Street Australia Limited	10,626	65,456.16	Ordinary
25/11/2016	State Street Australia Limited	4,575	28,319.25	Ordinary
28/11/2016	State Street Australia Limited	28,466	175,350.56	Ordinary
28/11/2016	State Street Australia Limited	14,168	87,274.88	Ordinary
29/11/2016	State Street Australia Limited	4,649	28,498.37	Ordinary
29/11/2016	State Street Australia Limited	2,314	14,184.82	Ordinary
30/11/2016	State Street Australia Limited	50,102	291,092.62	Ordinary
30/11/2016	State Street Australia Limited	24,931	144,849.11	Ordinary
	Total	1,143,564	6,510,158.25	Ordinary
	BT Investment Management (Institutional) Limited Total :	2,600,270	15,447,429.99	

Westpac RE Limited

Date	Registered Company	Quantity	Consideration	Class of Securities
4/10/2016	HSBC Custody Nominees (Australia) Limited.	14,139	71,826.12	Ordinary
5/10/2016	HSBC Custody Nominees (Australia) Limited.	18,725	93,437.75	Ordinary
6/10/2016	HSBC Custody Nominees (Australia) Limited.	16,201	81,491.03	Ordinary
7/10/2016	HSBC Custody Nominees (Australia) Limited.	23,035	116,326.75	Ordinary
	Total	72,100	363,081.65	Ordinary
	Westpac RE Limited Total :	72,100	363,081.65	

Westpac Financial Services Limited

Date	Registered Company	Quantity	Consideration	Class of Securities
11/11/2016	BT Portfolio Services Limited	184	1,144.48	Ordinary
11/11/2016	BT Portfolio Services Limited	284	1,766.48	Ordinary
11/11/2016	BT Portfolio Services Limited	62	385.64	Ordinary
11/11/2016	BT Portfolio Services Limited	65	404.30	Ordinary
11/11/2016	BT Portfolio Services Limited	43	267.46	Ordinary
11/11/2016	BT Portfolio Services Limited	53	329.66	Ordinary
25/11/2016	BT Portfolio Services Limited	42	259.56	Ordinary
	Total	733	4,557.58	Ordinary

Date	Registered Company	Quantity	Consideration	Class of Securities
25/11/2016	HSBC Custody Nominees (Australia) Limited.	70,485	436,302.15	Ordinary
28/11/2016	HSBC Custody Nominees (Australia) Limited.	99,142	610,714.72	Ordinary
29/11/2016	HSBC Custody Nominees (Australia) Limited.	16,194	99,269.22	Ordinary
	Total	185,821	1,146,286.09	Ordinary
	Westpac Financial Services Limited Total :	186,554	1,150,843.67	

ANNEXURE B

This is the annexure 'B' (being 3 pages) referred to in Form 603, Notice of initial substantial holder, lodged for Westpac Banking Corporation ACN 007 457 141 and its associated entities

Tim Hartin – Company Secretary, Westpac Banking Corporation – 02/12/2016

Westpac Banking Corporation	Australia	Series 2011-3 WST Trust	Australia
1925 (Commercial) Pty Limited	Australia	Series 2012-1 WST Trust	Australia
1925 (Industrial) Pty Limited	Australia	Series 2013-1 WST Trust	Australia
1925 Advances Pty Limited	Australia	Series 2013-2 WST Trust	Australia
A.G.C. (Pacific) Limited	Papua New Guinea	Series 2014-1 WST Trust	Australia
Advance Asset Management Limited	Australia	Series 2014-2 WST Trust	Australia
Altitude Administration Pty Limited	Australia	Series 2015-1 WST Trust	Australia
Altitude Rewards Pty Limited	Australia	SIE-Lease (Australia) Pty Limited	Australia
Aotearoa Financial Services Limited	New Zealand	SIE-Lease (New Zealand) Pty Limited	New Zealand
Ascalon Capital Managers (Asia) Limited	Hong Kong	Sixty Martin Place (Holdings) Pty Limited	Australia
Ascalon Capital Managers Limited	Australia	St.George Business Finance Pty Limited	Australia
Ascalon Funds Seed Pool Trust	Australia	St.George Custodial Pty Limited	Australia
Asgard Capital Management Limited	Australia	St.George Equity Finance Limited	Australia
Asgard Wealth Solutions Limited	Australia	St.George Finance Holdings Limited	Australia
Australian Loan Processing Security Company Pty Ltd	Australia	St.George Finance Limited	Australia
Australian Loan Processing Security Trust	Australia	St.George Life Limited	Australia
Belliston Pty Limited	Australia	St.George Motor Finance Limited	Australia
Bill Acceptance Corporation Pty Limited	Australia	St.George Security Holdings Pty Limited	Australia
BT (Queensland) Pty Limited	Australia	Sydney Capital Corp Inc.	USA
BT Australia Pty Limited	Australia	The Home Mortgage Company Limited	New Zealand
BT Financial Group (NZ) Limited	New Zealand	The Mortgage Company Pty Limited	Australia
BT Financial Group Pty Limited	Australia	Value Nominees Pty Limited	Australia
BT Funds Management (NZ) Limited	New Zealand	W2 Investments Pty Limited	Australia
BT Funds Management Limited	Australia	Waratah Receivables Corp Pty Limited	Australia
BT Funds Management No.2 Limited	Australia	Waratah Securities Australia Limited	Australia
BT Long Term Income Fund	Australia	Westpac (NZ) Investments Limited	New Zealand
BT Portfolio Services Limited	Australia	Westpac Administration Pty Limited	Australia
BT Private Nominees Pty Limited	Australia	Westpac Administration 2 Limited	Australia
BT Securities Limited	Australia	Westpac Administration 3 Limited	Australia
BT Short Term Income Fund	Australia	Westpac Administration 4 Limited	Australia
Canning Park Capital Pte. Ltd	Australia	Westpac Altitude Rewards Trust	Australia
Capital Corporate Finance Limited	Australia	Westpac Americas Inc.	USA
Capital Finance (NZ) Limited	Australia	Westpac Asian Lending Pty Limited	Australia Papua New Guinea
Capital Finance Australia Limited	Australia	Westpac Bank-PNG-Limited	
Capital Finance New Zealand Limited	Australia	Westpac Capital Holdings Inc.	USA
Capital Fleetlease Limited	Australia	Westpac Capital Markets Holding Corp.	USA
Capital Motor Finance Limited	Australia	Westpac Capital Markets LLC	USA
Capital Rent Group Limited	New Zealand	Westpac Capital-NZ-Limited	New Zealand
CBA Limited	Australia	Westpac Cash PIE Fund	Australia
Challenge Limited	Australia	Westpac Cook Cove Trust I	Australia

Core Infrastructure Income Feeder 1 LP 2016	Partnership(Scotland)	Westpac Cook Cove Trust II	Australia
Core Infrastructure Income Feeder 2 LP 2016	Partnership(Scotland)	Westpac Covered Bond Trust	Australia
Core Infrastructure Income Master LP 2016	Partnership(England)	Westpac Custodian Nominees Pty Limited	Australia
Core Infrastructure Income Holdings Limited 2016	Partnership(England)	Westpac Databank Pty Limited	Australia
Crusade ABS Series 2012 - 1 Trust	Australia	Westpac Debt Securities Pty Limited	Australia
Crusade ABS Series 2013 - 1 Trust	Australia	Westpac Delta LLC	USA
Crusade ABS Series 2015 - 1 Trust	Australia	Westpac Direct Equity Investments Pty Limited	Australia
Crusade ABS Series 2016 - 1 Trust	Australia	Westpac Equipment Finance Limited	Australia
Crusade CP Management Pty Limited	Australia	Westpac Equity Holdings Pty Limited	Australia
Crusade CP No.1 Pty Limited	Australia	Westpac Equity Investments NZ Limited	New Zealand
Crusade Euro Trust 1E of 2006	Australia	Westpac Equity Pty Limited	Australia
Crusade Euro Trust 1E of 2007	Australia	Westpac Europe Limited	UK
Crusade Global Trust 1 of 2007	Australia	Westpac Finance (HK) Limited	Hong Kong
Crusade Global Trust 2 of 2006	Australia	Westpac Financial Consultants Limited	Australia
Crusade Management Limited	Australia	Westpac Financial Holdings Pty Limited	Australia
Crusade 2P Trust of 2008	Australia	Westpac Financial Services Group Limited	Australia
Danaby Pty Limited	Australia	Westpac Financial Services Group-NZ-Limited	New Zealand
eQR Securities Pty. Limited	Australia	Westpac Financial Services Limited	Australia
Europe Infrastructure Debt LP	UK	Westpac Funding Holdings Pty Limited	Australia
General Credits Pty Limited	Australia	Westpac Funds Financing Holdco Pty Limited	Australia
Halcyon Securities Pty Limited	Australia	Westpac Funds Financing Pty Limited	Australia
Hastings Advisers LLC	USA	Westpac General Insurance Limited	Australia
Hastings Forestry Investments Limited	New Zealand	Westpac General Insurance Services Limited	Australia
Hastings Forests Australia Pty Limited	Australia	Westpac Global Capital Markets Pty Limited	Australia
Hastings Funds Management (UK) Limited	Singapore	Westpac Group Investment-NZ-Limited	New Zealand
Hastings Funds Management (USA) Inc.	UK	Westpac Holdings-NZ-Limited	New Zealand
Hastings Funds Management Asia Pty. Limited	USA	Westpac Investment Capital Corporation	USA
Hastings Funds Management Limited	Australia	Westpac Investment Vehicle No.2 Pty Limited	Australia
Hastings Infrastructure 1 Limited	UK	Westpac Investment Vehicle No.3 Pty Limited	Australia
Hastings Infrastructure 2 Limited	UK	Westpac Investment Vehicle Pty Limited	Australia
Hastings Investment Capital LP	USA	Westpac Leasing Nominees-Vic.-Pty Limited	Australia
Hastings Investment Management Pty Ltd	UK	Westpac Lenders Mortgage Insurance Limited	Australia
Hastings Investments GP LLC	Australia	Westpac Life Insurance Services Limited	Australia
Hastings Korea Company Limited	Korea	Westpac Life-NZ-Limited	New Zealand
Hastings Management Pty Limited	Australia	Westpac New Zealand Group Limited	New Zealand
Hastings Private Equity Fund IIA Pty Limited	Australia	Westpac New Zealand Limited	New Zealand
Hitton Pty Limited	Australia	Westpac New Zealand Staff Superannuation Scheme Trustee Limited	New Zealand
HLT Custodian Trust	New Zealand	Westpac Nominees-NZ-Limited	New Zealand
Infrastructure GP LLP	UK	Westpac Notice Saver PIE Fund	Australia
Infrastructure GP 2 LLP	UK	Westpac NZ Covered Bond Holdings Limited	New Zealand
Infrastructure Research and Advisory Services Private Limited	India	Westpac NZ Covered Bond Limited	New Zealand
Magnitude Group Pty Limited	Australia	Westpac NZ Operations Limited	New Zealand
MIF Custodian Trust	New Zealand	Westpac NZ Securitisation Holdings Limited	New Zealand
Mortgage Management Pty Limited	Australia	Westpac NZ Securitisation Limited	New Zealand
Net Nominees Limited	Australia	Westpac NZ Securitisation No. 2 Limited	New Zealand

Number 120 Limited	New Zealand	Westpac Overseas Holdings No. 2 Pty Limited	Australia
Oniston Pty Limited	Australia	Westpac Overseas Holdings Pty Limited	Australia
Partnership Pacific Pty Limited	Australia	Westpac Properties Limited	Australia
Partnership Pacific Securities Pty Limited	Australia	Westpac RE Limited	Australia
Pashley Investments Pty Limited	Australia	Westpac Securities Administration Limited	Australia
Planwise AU Pty Limited	Australia	Westpac Securities Limited	Australia
Qvalent Pty Limited	Australia	Westpac Securities NZ Limited	New Zealand
RAMS Financial Group Pty Limited	Australia	Westpac Securitisation Holdings Pty Limited	Australia
Reinventure Fund ILP	Australia	Westpac Securitisation Management Pty Limited	Australia
Reinventure Fund II ILP	Australia	Westpac Singapore Limited	Singapore
RMS Warehouse Trust 2007-1	Australia	Westpac Structured Products Limited	Australia
Sallmoor Pty Limited	Australia	Westpac Superannuation Nominees-NZ-Limited	New Zealand
Securitor Financial Group Limited	Australia	Westpac Syndications Management Pty Limited	Australia
Seed Pool Trust No. 2	Australia	Westpac Term PIE Fund	New Zealand
Series 2008-1M WST Trust	Australia	Westpac TPS Trust	Australia
Series 2009-1 WST Trust	Australia	Westpac Unit Trust	Australia
Series 2011-1 WST Trust	Australia	Westpac USA Inc.	USA
Series 2011-2 WST Trust	Australia		

Note: Westpac retains 30.0% ownership of BT Investment Management Limited who lodges separate notices under ASX code 'BTT'

BT Investment Management Limited (30.0%)	Australia
BT Investment Management (Institutional) Limited (Subsidiary of BT Investment Management Limited)	Australia
BT Investment Management (Fund Services) Limited (Subsidiary of BT Investment Management Limited)	Australia